

PREA AUDIT REPORT Interim Final
COMMUNITY CONFINEMENT FACILITIES

Date of report: 10/28/2017

Auditor Information			
Auditor name: Kayleen Murray			
Address: P.O. Box 2400 Wintersville, Ohio 43953			
Email: kmurrap.prea@yahoo.com			
Telephone number: 7403176630			
Date of facility visit: July 24-27, 2017			
Facility Information			
Facility name: Residential Treatment Center II			
Facility physical address: 1608 Market Street, Youngstown, Ohio 44507			
Facility mailing address: <i>(if different from above)</i> Click here to enter text.			
Facility telephone number: 330-742-8663			
The facility is:	<input type="checkbox"/> Federal	<input type="checkbox"/> State	<input type="checkbox"/> County
	<input type="checkbox"/> Military	<input type="checkbox"/> Municipal	<input checked="" type="checkbox"/> Private for profit
	<input checked="" type="checkbox"/> Private not for profit		
Facility type:	<input type="checkbox"/> Community treatment center	<input type="checkbox"/> Community-based confinement facility	
	<input checked="" type="checkbox"/> Halfway house	<input type="checkbox"/> Mental health facility	
	<input type="checkbox"/> Alcohol or drug rehabilitation center	<input type="checkbox"/> Other	
Name of facility's Chief Executive Officer: David Stillwagon			
Number of staff assigned to the facility in the last 12 months: 20			
Designed facility capacity: 44			
Current population of facility: 44			
Facility security levels/inmate custody levels: minimum/community control			
Age range of the population: 18 and up			
Name of PREA Compliance Manager: Jeremy Simpson		Title: Chief Operations Officer	
Email address: Jeremys@ccaworks.org		Telephone number: 330-744-5143	
Agency Information			
Name of agency: Community Corrections Association			
Governing authority or parent agency: <i>(if applicable)</i> Click here to enter text.			
Physical address: 1507 Market Street, Youngstown, Ohio 44507			
Mailing address: <i>(if different from above)</i> Click here to enter text.			
Telephone number: 330-744-5143			
Agency Chief Executive Officer			
Name: David Stillwagon		Title: CEO	
Email address: daves@ccaworks.org		Telephone number: 330-744-5143	
Agency-Wide PREA Coordinator			
Name: Jeremy Simpson		Title: Chief Operations Officer	
Email address: jeremys@ccaworks.org		Telephone number: 330-744-5143	

AUDIT FINDINGS

NARRATIVE

The PREA audit for the Residential Treatment Center II (RTC II) halfway house was conducted on July 24-27, 2017 in Youngstown, Ohio. RTC II is a facility that is under the Community Corrections Associations, Inc. (CCA) umbrella. CCA has been nationally recognized for community corrections and chemical dependency treatment. The facility supplied the auditor with documentation relevant to showing compliance with each of the standards. The pre-audit questionnaire, a list of community partners and their phone numbers, floor plans, and MOU's were included in the documentation.

During the audit the auditor toured the facility and conducted formal staff and client interviews. During the tour it was noted that multiple PREA audit notices were posted in both client and staff areas including the main entrance where visitors to the facility could also see the notices. The notices included the name and address (mailing and email) of the auditor and the date in which the notice was posted. The auditor received no contact from clients or staff prior to the audit. No client or staff member made a requested to speak with the auditor during the audit. Also posted were notices as to how anyone could report a PREA allegation. The notices included the names and numbers of internal and external agencies they can make an anonymous report, and that anyone can report a PREA allegation to any staff member at any time verbally or in writing.

Five offenders were randomly chosen for interviews from the two housing units. Clients were asked about their experience with PREA education, allegation reporting, communication with staff, safety, restrooms, knock and announcements, grievance procedures, pat downs, PREA brochures/postings, and the zero tolerance policy.

The auditor also interviewed specialized staff. This staff includes: CEO, PREA Coordinator, Residential Service Director, PREA Manager (Facility Director), Investigators (2), Case Management, and Emotional Support personnel. The auditor was able to verify services provided to clients by St. Elizabeth Hospital and the Turning Point Rape Crisis Center. The facility does not provide on-site mental health or medical services. Random staff were questioned about PREA training, how to report, to whom to report, filing reports, investigations, conducting interviews, follow-up and monitoring retaliation, first responder duties, and the facility's coordinated response plan.

After a brief opening with agency staff, the auditor toured the facility. The tour consisted of examining all housing units, dorms, bathrooms, group areas, operations posts, rec yard, air break patio, utility areas, kitchen, and maintenance areas. A review of employee files, training records, PREA acknowledgments, PREA forms, and data logs were also completed. The auditor gave a closeout and shared some the immediate findings.

DESCRIPTION OF FACILITY CHARACTERISTICS

The Residential Treatment Center II (RTC II) is a halfway house located in Youngstown, Ohio that serves adult male felony offenders. The facility is divided into two sections with both being one level. The facility can house a total of 44 offenders. In order to access the secure perimeter of the facility one must report to the main entrance and be buzzed into the main lobby by staff. Once inside the main lobby, all clients must be signed in. Clients will receive a pat down that is visible by video surveillance.

The facility has two housing units that each share a living area. The units are set for intake and pre-release phases. The housing unit area has restrooms that are designed for maximum privacy while still providing a safe and secure environment (see standard 115.215 for a detailed description of both bathrooms). The day room area houses a manned housing desk, seating, and pay phones. There is access to a TV, laundry room, and dining hall. The food for clients at RTC II is made at another CCA facility and delivered to the clients. RTC II staff serve the meals. All staff offices, group rooms, and classrooms have windows for clear line of site views into the rooms. Staff are required to have the blinds open whenever a client is in the office. Clients have access to a recreation area and visitation room across the parking lot from the facility. This building is equipped with a dayroom/sitting area, pool tables, and workout equipment. The facility has identified dorms and beds (easily visible to the housing desk) for clients who have been identified as highly abusive or highly vulnerable.

RTC II's electronic surveillance program includes 16 cameras placed throughout the facility (interior and exterior) that have the capability to record and playback up to 30 days. This is an increase from the number of camera during the facility's first PREA audit. The facility has plans to increase the number of cameras in the facility and integrate electronic key access. Camera footage is viewed by Resident Supervisor staff assigned to the main control post. Supervisors can review live and recorded footage at their desk. Along with head counts, Resident Supervisor staff circulate throughout the whole facility once every hour. Identified blind spot areas have increased circulation. The facility has placed surveillance mirrors in some rooms in order to capture areas that are not immediately visible when looking through the window, and in the hallways to cover corners and other hidden areas.

The facility's goals are to alleviate jail and prison overcrowding; improving the community integration process for residents; addressing chemical dependency, employment, education, and other issues prior to release; and reducing recidivism by addressing certain behaviors, attitudes, and thought processes. RTC II accomplishes these goals by using programming that has demonstrated the ability to reduce crime.

SUMMARY OF AUDIT FINDINGS

Residential Treatment Center II has had no (0) PREA allegations during the reporting period.

The staff of RTC II indicated that they received formal PREA training during orientation training or as part of their annual training along with refresher training during a monthly staff meeting. Staff was able to specifically talk about their responsibilities as first responders, how they were to respond to any allegation reported to them or if they suspected incidents of sexual abuse/sexual harassment, how to communicate effectively with offenders who may be LGBTI, and impressed upon the auditor that their main duty was to keep everyone safe. Many of the staff were able to detail their experience working with a previous transgender client. They found their training to be helpful during that time and did not run into any barriers to treatment.

The offenders at RTC II expressed that they have no doubt that the staff would keep them safe and would respond appropriately should an incident of sexual harassment/sexual abuse take place. The offenders were able to clearly recite the education they received concerning their rights under the PREA standards, and knew the location of PREA related postings. All offenders affirmed being screened at intake for risk of vulnerability or abusiveness.

All MOU's documented the partnership between the facility and the contracting agency concerning services to be provided should there be a need. The auditor was able to review the websites of St. Elizabeth's Hospital and Turning Point Rape Crisis Center and confirm the services each would provide to offenders should there be an allegation of sexual assault or abuse.

Overall, the auditor was left with the impression that the leadership and staff of RTC II have made implementing the PREA standards a priority and that they have received the necessary training and authority to detect, protect, and respond to any incident of sexual abuse/sexual harassment. CCA as an agency has shown a commitment to the safety of residents, and provides the necessary support to implement all aspects of the PREA standards.

This is the facility's second PREA audit and it confirms the agency's progression toward providing maximum safety and an environment where to enable positive change.

Number of standards exceeded: 0

Number of standards met: 34

Number of standards not met: 0

Number of standards not applicable: 3

Standard 115.211 Zero tolerance of sexual abuse and sexual harassment; PREA Coordinator

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor’s analysis and reasoning, and the auditor’s conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

The facility has an agency wide written policy mandating zero tolerance toward all forms of sexual abuse and sexual harassment. The policy includes how the facility will implement its approach to preventing, detecting, and responding to sexual abuse and sexual harassment; definitions of prohibited behavior; sanctions for those found to have participated in sexual abuse or sexual harassment; and appropriate strategies to reduce and prevent sexual abuse and sexual harassment of clients.

The agency-wide PREA Coordinator is the agency's Chief Operations Officer, and reports directly to the agency's CEO. During staff interviews, the PREA coordinator indicated that he has enough time and authority to develop, implement, and oversee the facility's efforts to comply with the PREA standards. The CEO agreed that the PREA Coordinator has great latitude toward implementing policy and procedure where PREA is concerned.

The agency’s PREA Coordinator is assisted by the agency’s Residential Service Director. The Residential Service Director reports directly to the PREA Coordinator and has ample time to dedicate to these duties.

The facility's PREA Manager is the agency's Facility Director. The PREA Manager reports directly to the PREA Coordinator on issues pertaining to complying with the PREA standards. He indicates that he has ample time to comply with the PREA standards.

- Review:
Policy and Procedure
Interview with PREA Coordinator
Interview with CEO
Interview with Facility Director
Interview with Residential Service Director

Standard 115.212 Contracting with other entities for the confinement of residents

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

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N/A: The PREA Coordinator advises that the facility is not a public agency and does not contract with other facilities.

Standard 115.213 Supervision and monitoring

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

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The facility has a staffing plan that provides for adequate levels of staffing, and where appropriate video monitoring to protect clients against sexual misconduct. The staffing plan takes into consideration the physical layout of the facility, types of clients housed at the facility, and the number of substantiated and unsubstantiated incidents. The facility management has considered all blind spot areas and developed an appropriate response to maintain the safety and security of the facility.

The staffing plan was developed with the agency PREA coordinator and the facility PREA manager along with other facility leadership. The team documents ways the facility can improve its methods of preventing and detecting any incidents of sexual abuse/sexual harassment. Staffing levels are continuously monitored and the facility has the ability to pull from other facilities if necessary to ensure appropriate coverage.

There have been no deviations to the staffing plan during this audit cycle. The facility has created a form to document the dates of any deviations, listed what the deviation was, and a justification for the deviation.

The auditor has reviewed the agency's written policy concerning what information is to be contained in the staffing plan and the number of staff members required to operate each shift. A review of floor plans, camera placement, and identified blind spot areas was conducted by the auditor prior to the audit and during the walk through. During interviews with facility staff, the auditor was informed how staff placement, security mirrors, required head counts and circulations, and video monitoring are used to ensure maximum safety and security. There is a policy requirement to have the staffing plan reviewed annually and updated if necessary.

This is the second PREA audit for this facility, and the auditor noted areas in which increased monitoring either by camera, security, mirror, or staffing was adjusted based on feedback from the last audit. The facility is continually updating security and staffing plans to eliminate any potential blind spot areas.

Review:

Policy and Procedure

Facility tour

Staffing plan

Deviation Report

Floor plans with camera placement/security mirrors

Interview with PREA Coordinator

Interview with Residential Service Director

Interview with Facility Director

Interview with Operations Supervisor

Standard 115.215 Limits to cross-gender viewing and searches

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

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The facility does not conduct cross-gender strip or cross-gender body cavity searches of residents. Clients receiving a pat or strip search will be searched by members of the same sex. Cross-gender pat-down searches are also not allowed. The facility does not house female residents.

The facility allows clients to shower, perform bodily functions, and dress in areas not viewable to staff. The facility is divided into two sides with a shared living unit. The intake side of the building contains six identical dorm rooms that each have a window running alongside the entrance door. The window provides clear site views into the room. Clients are required to dress in the bathroom. The facility has a total of three single use bathrooms. Each unit is equipped with a bathroom for clients to be able to shower and use the toilets. The doors to these bathrooms shut but do not lock. The single use bathrooms make protecting vulnerable, transgender, or intersex clients for effective. The prelease side of the facility also contains single bed rooms that would be used for a transgender or intersex client, or any other client who needed protection from abuse.

During Client interviews, all indicated that staff announce their presence before entering the restroom or dorm areas, and the auditor witnessed this while walking through the facility. The agency has a dress policy that requires clients to be fully dressed in common areas.

The facility does not currently have a transgender or intersex resident. The agency has implemented a policy addressing the proper housing, search, and showering of any transgender or intersex resident. Agency administration would assign the Client to the most appropriate facility and along with facility administration, develop a plan for specific bed placement and other accommodations. Clients who are identified as highly vulnerable or highly abusive would be housed and in beds that are easily viewable to staff. The policy does not allow staff to physically examine a transgender or intersex Client for the sole purpose of determining genital status. The auditor discussed the housing a transgender client with facility administrators, leadership, and line staff. All staff report their training has been sufficient enough to prepare them to handle the situation professionally and appropriately.

Facility staff have not received proper training for patting down a transgender or intersex resident

CORRECTIVE ACTION:

The agency must train all security staff how to professionally and appropriately pat search a transgender or intersex resident.

FACILITY RESPONSE:

The facility has trained its staff on the proper way to pat search a transgender or intersex resident. The training was developed by the PREA Resource Center and facilitated by the Compliance Manager.

Review:

Policy and procedure

Staffing plan

Facility tour

Training records

Interview with PREA Coordinator

Interview with Facility Director

Interview with Resident Supervisor

Interview with random Resident Supervisor staff

*Transgender/intersex/cross-gender pat search training curriculum

*Training sign-in sheet

Standard 115.216 clients with disabilities and clients who are limited English proficient

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor’s analysis and reasoning, and the auditor’s conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

The facility has been able to partner with other agencies to provide a disabled client equal opportunity to participate in all aspects of the facility's efforts to prevent, detect, and respond to sexual abuse and sexual harassment. The facility identifies clients who may be limited English proficient and works with interpreters so that clients can benefit from all aspects of the facility's efforts to prevent, detect, and respond to sexual abuse and sexual harassment. Per policy, the facility will only rely on client interpreters if a delay in obtaining an effective interpreter could compromise the resident's safety, the performance of first-responder duties, or the investigation of the resident's allegations.

As a part of the agency's PREA training program, all staff are trained on how to ensure that PREA is communicated with clients having a cognitive or physical disability and who to call to help clients who may have a language barrier. The facility will use a qualified employee to aid any client in understanding agency rules, PREA, and other regulations. If a qualified staff member is unavailable, outside assistance by a qualified person will be used at no cost to the resident. At this time, the facility does not have a client who is in need of these services.

- Review:
Policy and Procedure
Interpreter service providers
Interview with Facility Director
Interview with Resident Supervisor staff (conduct intake)

Standard 115.217 Hiring and promotion decisions

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

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The agency has a policy that prohibits hiring or promoting anyone who may have contact with the clients and prohibits the services of any contractor who may have contact with clients who: has engaged in sexual abuse in a prison, jail, lockup, community confinement facility, juvenile facility, or other institution; has been convicted or engaging or attempting to engage in sexual activity in the community facilitated by force, overt or implied treats of force, or coercion, or if the victim did not consent or was unable to consent or refuse; or has been civilly or administratively adjudicated to have engaged in the activity described in the above section.

The agency conducts a background check for all prospective employees, including temporary employees, independent contractors, volunteers, and student interns or required the contractor, vendor, volunteer to provide a background check. Record checks are completed every five years. Every five years the Human Resource Department will run background checks on the entire facility regardless when a person was hired in order to guarantee all staff received the required updated check. All employees, independent contractors, volunteers, and interns are required by policy to immediately report to their supervisor any arrests, citations, and complaints to professional licensing boards. Employees document this continued affirmation during annual personnel evaluations.

All successful applicants are notified of the PREA background check requirement and that any omission regarding sexual misconduct is grounds for termination. Employees are required to document their adherence to this policy.

The Human Resource Department will review the personnel file, specifically any disciplinary action, of any employee who is up for a promotion. This information is reported to the hiring/promotion committee before a decision is made.

The auditor conducted a review of ten randomly chosen employee’s files and confirmed the background checks (initial and five-year update), documentation of the continual affirmation to disclose any sexual misconduct, referral checks, and the promotion process. The PREA Audit Report

auditor conducted a lengthy interview with the CEO who took the auditor step by step through the hiring and promotion process.

CORRECTIVE ACTION:

The Human Resource Department conducts referral checks for all new hire but does not specifically document whether or not a potential employee has been found to have substantially sexually abused an offender or resigned during a pending investigation of an allegation of sexual abuse.

FACILITY RESPONSE:

The new agency reference check form includes a check to see if a potential employee has been found to have substantially sexually abused an offender or resigned during a pending investigation of an allegation of sexual abuse

Review:

Policy and procedure

Employee files

On boarding documentation

Interview with CEO

*New reference check form

Standard 115.218 Upgrades to facilities and technologies

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

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The facility has not acquired a new building or made any substantial expansion or modification to the existing facility.

An interview with the facility Director and the PREA Coordinator indicate that the facility has recently been able to add cameras and upgrade the camera system. The facility has more areas that they would like to place additional cameras and would like to install an electronic key card system. The facility will address the needs to these areas as the budget allows.

Review:

Policy and procedure

Interview with CEO

Interview with PREA Coordinator

Interview with Facility Director

Standard 115.221 Evidence protocol and forensic medical examinations

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

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recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

The facility has two trained investigators to conduct administrative sexual abuse investigations. The Youngstown City Police Department is responsible for conducting criminal investigations, however the APA office is located in the agency's recreation building. These officers have arresting capabilities and could assist the agency if necessary. The agency has an agreement with Youngstown City Police that acknowledges that the department is responsible for conducting criminal investigations for the facility.

The facility will use St. Elisabeth's Hospital to provide a Sexual Assault Nurse Examiner for any client who is a victim of sexual abuse. The auditor reviewed the hospital's website and confirmed with the hospital's Director of Sane Services that any Client taken to this hospital would be treated by a certified SANE nurse. The services provided by the hospital would be at no cost to the resident.

The facility has a MOU with the Red Cross Rape Crisis Center to provide a victim advocate to any victim of sexual abuse, and a trained staff member who can provide victim support services. The auditor reviewed the website and confirmed the services the agency would provide to clients of CCA and that all services were free of charge.

Review:

Policy and Procedure

Emails to local legal authority

MOU with Red Cross Rape Crisis Center

Interview with Administrative Investigators

Interview with PREA Coordinator

Phone interview with SANE services director

Red Cross Rape Crisis website

Standard 115.222 Policies to ensure referrals of allegations for investigations

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

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The agency has a policy that requires an administrative investigation of all allegations of sexual abuse and sexual harassment, and that any allegation that is criminal in nature is referred to the Youngstown City Police Department. The facility has not had an allegation of sexual harassment or sexual abuse during this audit cycle. The auditor interviewed both administrative investigators and reviewed their process for investigating allegations and what would prompt a referral to the legal criminal investigative authority.

The CCA website posts the investigative policy of the agency and the responsibilities of both the agency and the investigating entity. The auditor reviewed the agency's website and confirmed that the appropriate policy was posted.

Review:

Policy and procedure

CCA website

Interview with PREA Coordinator

Interview with Administrative Investigators

Standard 115.231 Employee training

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

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The agency has trained all (1-10 of section 115.231) staff on the PREA required topics. The agency holds monthly trainings which included refreshers and updates to PREA policies and practices to ensure all staff knew the proper way to prevent, detect, report, and respond to any allegations of sexual abuse or sexual harassment that is specific to each facility.

During staff interviews, all staff were able to discuss the various PREA related training they received either at orientation or during one of the monthly training sessions. Staff was well versed on the PREA policies and protocols. Training topics included:

- Gender specific training
- Code of ethics
- Client reporting
- Boundaries
- PREA compliance for HR operations
- Investigations
- First responder duties/coordinated response plan
- Client rights under the PREA guidelines
- PREA policies
- Rights and responsibilities for incidents of sexual abuse, assault, harassment, and retaliation
- Symptoms of abuse
- LGBTI populations
- Community based resources
- Victim medical/mental health care

In addition to orientation training on PREA topics, employees participate in monthly training which will cover a PREA related topic. The training coordinator in conjunction with the PREA Coordinator ensures that the required PREA topics in standard 115.231 are covered and that each employee signs verification of such training. All training is tracked and a copy is kept in the employees file.

Review:

- Policy and procedure
- Training curriculum
- Training records
- Interview with PREA Coordinator
- Interview with Training Coordinator
- Interview with Facility Director
- Interview with Residential Specialist Director
- Interview with random staff

Standard 115.232 Volunteer and contractor training

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

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The agency requires all contractors and volunteers to participate in training before having contact with clients. The training is conducted by the facility director and includes review of the agency's zero tolerance policy, how to prevent, detect, and respond to allegations of sexual abuse and sexual harassment. All contractors and volunteers are required to sign verification of training.

The auditor reviewed the training material and documentation of completed training from various contractors/volunteers.

Review:

Policy and procedure

Contract/vendor training

Visitor zero tolerance notification

Interview with Training Coordinator

Standard 115.233 Client education

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

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All clients receive information at the time of intake about the facility's zero tolerance policy, how to report incidents or suspicions of sexual abuse or sexual harassment, their rights to be free from sexual abuse and sexual harassment, and to be free from retaliation for reporting such incidents. This information is read and reviewed with all clients to ensure each Client understands their rights under the PREA guidelines. If a Client does not understand English or has other disabilities that prevent normal communication, the facility contracts services with other agencies so that each Client can benefit from the facilities efforts to prevent, detect, report, and respond to sexual abuse and sexual harassment (See standard 115.216). Clients sign acknowledgment of receiving this information.

All clients watch a PREA education video during orientation and receive handouts that include ways to report and reporting phone numbers. This information is also on posters located throughout the facility. During this orientation group, the Facility Director or designee ensures that clients understand the services available to them at no cost and the limits to confidentiality.

During Client interviews, all offenders reported receiving the PREA education and information at intake and during orientation group. Clients also indicated that their case managers reviewed ways to keep themselves safe, how to report including anonymously, and the toll free numbers posted near the phones. Postings with PREA related information were located in conspicuous areas throughout the facility.

Review:
Policy and procedure
Client training curriculum
PREA postings
Facility tour
Interview with residents
Interview with Facility Director
Interview with Residential Services Director

Standard 115.234 Specialized training: Investigations

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

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The agency has a policy concerning specialized training for PREA administrative investigators. All criminal investigations are referred to the local legal authority for investigation. The agency’s Residential Services Director as well as the PREA Coordinator have received appropriate training on how to conduct an administrative investigation. The training curriculum was developed by the Moss Group. The training included techniques for interviewing sexual abuse victims, proper use of Miranda and Garity Warnings, evidence collection in a confinement setting, and required evidence to substantiate a case for administrative or criminal investigation referral.

Review:
Policy and procedure
Administrative Investigator training curriculum
Administrative Investigator training certificate
Interview with Administrative Investigators

Standard 115.235 Specialized training: Medical and mental health care

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
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The facility does not offer onsite medical or mental health treatment. All clients requesting these services would be referred to community resources. Medical services would be provided to clients by St. Elizabeth’s Hospital. The hospital is staffed with SAFE and SANE practitioners that offer services free of charge. Mental health or victim advocate services would be provided by the Turning Point Rape Crisis Center.

St. Elizabeth's Hospital has collaborated with the Turning Point Rape Crisis Center and together the agencies have comprehensive education and training deal with victims of sexual abuse or assault.

Review:
Policy and procedure
Interview with SANE Services Director
Interview with PREA Coordinator

Standard 115.241 Screening for risk of victimization and abusiveness

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

All clients are screened for risk of vulnerability or abusiveness at intake. The screening tool used includes all required criteria to accurately assess the resident's risk. The PREA screening form is stored in the resident's file and only approved staff have access to the information. Case Management staff will complete the initial assessment with the client during intake and will complete a re-screen anytime any additional, relevant information is received, a referral, request, or incident of sexual abuse occurs or if the client was assessed as highly abusive or highly vulnerable at intake. The policy does not allow a client to be disciplined for refusing to answer or for not disclosing complete information in response to questions on the resident's mental health, sexuality, or previous victimization.

All staff are training on how to complete the screening tool appropriately. An interview with staff confirmed his training on completing the form appropriately and the steps to take should a client be classified as highly abusive, abusive, highly susceptible, or susceptible.

During the interview, the auditor discovered that staff was not doing an "affirmative check" to ensure no rescreening was necessary. The staff were also asking the client if he is perceived by others as being LGBTI.

The Facility Director reviews initial assessments and completes a quality assurance check to ensure clients are classified appropriately. Any necessary re-assessments are also reviewed for quality assurance purposes.

CORRECTIVE ACTION:

The facility needs to conduct an affirmative check on all screens that proves no new information was received. See FAQ for this standard stated June 20, 2014. In the second paragraph of the answer portion, it clarifies that an affirmative assessment is needed within the set period of time, but no longer than 30 days after intake. And the fourth paragraph states that an affirmative assessment requires at a minimum, that the screening staff consult available resources and to **document** such review, if after consulting all relevant sources, no new relevant information has become available, then a reassessment is not necessary and documented as such.

FACILITY RESPONSE:

The facility has developed a rescreen assessment form which all residents will receive at the 14-30 day mark. The initial and rescreen form have been updated to ensure that the perception of the resident's LGBTI status is based on the screener and not the resident.

Review:
Policy and procedure
Initial PREA assessment screen
Interview with Facility Director
Interview with residents
Interview with case managers
*New initial screening form
*New rescreen form

Standard 115.242 Use of screening information

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor’s analysis and reasoning, and the auditor’s conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

All clients receive a classification based upon their PREA screening information. Classifications include: none, potentially vulnerable or potentially abusive. A resident's classification will be documented but no staff member will be able to see the screening form or answers. Any client who is classified as potentially vulnerable or potentially abusive will be housed in a single occupancy room.

All clients with a classification have it addressed on their individual program plan. These clients work with their case worker to work on the issues underlining their classification and clients can also be referred to outside counseling if necessary.

The facility has not housed any transgender or intersex clients but does have a plan to house such clients safely which include opportunities to shower separately and make housing and program assignments with a transgender or intersex resident's own views taken into consideration.

The auditor and facility management discussed the facility's plan to house clients that are highly vulnerable, highly abusive, or transgender/intersex. The facility was able to describe specific bed placement, group separation, ability to shower separately, and the new protocol on safely housing transgender/intersex clients as ways to ensure the safety of each resident.

Review:
Policy and procedure
Facility tour
Initial PREA assessment screening
Individual case plan
Staffing plan
Interview with Case Managers
Interview with Resident Supervisors
Interview with PREA Coordinator

Standard 115.251 Client reporting

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor’s analysis and reasoning, and the auditor’s conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

Clients at RTC II have multiple ways of reporting sexual abuse. Posters throughout the facility indicate how clients can report as well as how to report to an outside agency. Interviews with the clients indicate that they are aware of all means of reporting and that they could report anonymously. They received the information at intake, during orientation training, and in case manager meetings.

The facility has public pay phones with the reporting numbers unblocked to allow free calls to the reporting entities. The clients are also able to carry cell phones which they can use to make a report.

All clients received information at intake and in their handbooks regarding PREA reporting. Staff received information on how to privately report during staff training.

Review:
Policy and procedure
PREA postings
PREA brochure
Facility tour
Interview with Facility Director
Interview with residents

Standard 115.252 Exhaustion of administrative remedies

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

N/A: The PREA Coordinator states that the agency does not use its grievance system to investigate PREA allegations. Any Client who uses a grievance form to report an allegation will have the form removed from the grievance process and it will be handled like any other reporting method.

Standard 115.253 Client access to outside confidential support services

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

The facility has a MOU with Turning Point Rape Crisis Center to provide emotional support and advocate services to any client who is a victim of sexual abuse. The facility provides the phone number of this agency to clients as well as train them during orientation of the limitations to confidentiality and mandatory reporting.

Interviewed clients verified that they received this information and that the information is available on posters located throughout the facility.

The auditor took note of the information on posters located throughout the facility and ensured that the posting contained all the accurate

information. A review of the MOU was also completed.

The auditor reviewed Turning Point Rape Crisis Center's website about the services available to any client who may need emotional support after an incident of sexual assault/abuse. The services provided by the crisis center included support while in the hospital, during any investigation/questioning, court appearances, and any on-going counseling needs.

The facility has not had an allegation of sexual abuse or sexual harassment during this audit cycle and had no need for these services.

CORRECTIVE ACTION:

The agency does not put the address of the outside emotional supportive agency on the postings. The standard requires that the address be included in the information available to the residents.

FACILITY RESPONSE:

The agency has updated information given to the residents concerning outside emotional support agencies. This information now includes the address for Compass Family and Community Services. The agency has also included the address and email address of other agencies that the residents can report sexual abuse or sexual harassment.

Review:

Policy and procedure

MOU Red Cross Rape Crisis Center

Interview with Victim Advocate

Interview with PREA Coordinator

Interview with Emotional Support staff

*New resident PREA information sheet

Standard 115.254 Third-party reporting

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

The agency has posted on its website ways that anyone can report sexual abuse or sexual harassment on behalf of a resident. Clients are also educated that they can report to family members who can then make a third party report.

The facility has not had a third party report.

CORRECTIVE ACTION:

The facility has a visitation facility that does not have a posting of the reporting options available to them to report sexual abuse or sexual harassment on behalf of a resident.

FACILITY ACTION:

The facility has added a poster in the lobby of the visitation area that includes information on how a person could make a third party report.

Review:

Policy and procedure

CCA website

PREA postings

Facility tour

Interviews with random residents

*New PREA poster in visitation room lobby

Standard 115.261 Staff and agency reporting duties

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor’s analysis and reasoning, and the auditor’s conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

CCA, Inc. policy requires all employees to immediately report any knowledge, suspicion, or information regarding an incident of sexual abuse or sexual harassment including third party and anonymous reports. Apart from the employee's supervisor, no one shall reveal any information related to a sexual abuse report to anyone other than to the extent necessary to make treatment, investigation, and other security and management decisions. All allegations of sexual abuse or sexual harassment are reported to the facility's investigators.

The auditor interviewed all required specialized staff and several random staff members. All staff members indicated that they were given and understand the agency's policy on reporting PREA incidents and were trained on the appropriate way to document a report and to whom they should report an allegation. Staff indicated they understood that they are required to report their own suspicions, or information regarding sexual abuse, sexual harassment, or retaliation.

All staff members with a duty to report based on local law and medical and mental health practitioners are required to inform clients of their status and the limitation of confidentiality at the initiation of services. Interviews with staff members who have a duty to report indicated that they understood their duty to inform clients before providing services.

The facility does not admit clients under the age of 18. The State of Ohio does not require institutions or facilities licensed by the state or facilities in which a person resides as a result of voluntary, civil, or criminal commitment to report to adult protective services (Chapter 5101:2-20 and 5101:2-20-01).

Review:
Policy and procedure
Ohio revised code
Interview with random staff
Interview with Residential Services Director
Interview with Facility Director

Standard 115.262 Agency protection duties

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor’s analysis and reasoning, and the auditor’s conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

RTC II has several rooms within the facility and two separate housing units. This allows the facility to move either the alleged victim or the alleged abuser to another dorm or side of the building during or after an investigation. The facility also has several single occupancy room

that a client where a client could be moved. The agency also has several facilities in the Youngstown area that a client can be moved during an investigation. During the interview process, it was very clear that the safety and security of all clients is their primary concern.

An interview with the PREA Coordinator and Agency Investigators describe the process on how they determine if an alleged victim or abuse should be moved to another facility in order to protect the victim from imminent abuse. The practice is to place a staff member on administrative leave or place in another facility (if possible) if they are accused of sexual harassment or sexual abuse during the investigation. The staff member is to have no contact with the facility or other staff member until a determination has been made. If another Client is the alleged abuser, the abuser and victim will be separated either by dorm or facility until a determination has been made.

The facility has not had to move a client or staff member due to an allegation of sexual abuse or sexual harassment.

Review:
Policy and procedure
Employee personnel record
Interview with PREA Coordinator
Interview with CEO

Standard 115.263 Reporting to other confinement facilities

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor’s analysis and reasoning, and the auditor’s conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

Upon receiving an allegation that a client was sexually abused while confined at another corrections facility, the Facility Director shall notify in writing the head of the facility or appropriate central office of the agency where the alleged abuse occurred and notify the agency PREA Coordinator. The policy requires notification within 72 hours.

Interviews with the Agency's PREA Coordinator and the facility's Director confirmed this practice.

The facility has not received any allegation that they had to make a report to another agency, nor have they received an allegation from another agency concerning a prior client.

Review:
Policy and procedure
Interview with Facility Director
Interview with PREA Coordinator

Standard 115.264 Staff first responder duties

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor’s analysis and reasoning, and the auditor’s conclusions. This discussion

must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

CCA has a policy outlining first responder duties for any allegation of sexual abuse. The policy contains instructions for how to separate the abuser and victim, protect and preserve evidence until it can be collected by appropriate authorities, does not allow the abuser to destroy evidence, request that the victim does not destroy any evidence, and enacting the PREA coordinated response plan. All staff are trained on first responder duties (security and non-security staff).

Interviews of security and program staff indicate that staff know the appropriate steps to take to preserve and protect evidence and support the victim. All staff seemed comfortable with the first responder duties and confident that they would respond appropriately based upon their training.

Each security post has a posting of the first responder duties and coordinated response plan.

The facility has not had to use first responder training for any allegation of sexual abuse.

Review:

Policy and procedure

Coordinated response plan/first responder duties posting

Training records

Interviews with random staff

Standard 115.265 Coordinated response

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

CCA has an appropriate written coordinated response plan to respond to any incident of sexual abuse. The plan includes the steps to take for first responders, medical and mental health practitioners, investigators, and facility leadership. All staff is trained on the plan and this was confirmed through interviews with security and program staff.

While on the tour, the auditor noted that the written coordinated plan is posted at the security post in the facility. The posting is within a flip chart which is highly visible and clearly marked.

During staff interviews, staff knew and could articulate the coordinated response plan. All staff knew the entire plan and did not differentiate between security and non-security tasks. Staff was able to disclose the location of the plan and discussed how they practice using the plan in various scenarios during training.

Review:

Policy and procedure

Coordinated response plan/first responder duties posting

Interview with random staff

Standard 115.266 Preservation of ability to protect clients from contact with abusers

- Exceeds Standard (substantially exceeds requirement of standard)

- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor’s analysis and reasoning, and the auditor’s conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

N/A: The PREA Coordinator indicates that the facility is not under any collective bargaining agreements – a non-union agency.

Standard 115.267 Agency protection against retaliation

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor’s analysis and reasoning, and the auditor’s conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

The agency has a policy to protect all clients and staff who report sexual abuse or sexual harassment or cooperate with sexual abuse or sexual harassment investigations from retaliation. The facility has assigned the Facility Director or supervisory designee as the staff responsible for monitoring against retaliation for at least 90 days. The client would be placed on special surveillance and would have increased checks by security staff. In the case of Client victims, a status check is completed by the facility's emotional support person.

The facility has the ability to move victim, offender, or employees in order to protect against retaliation. The facility has not had to move an abuser, victim, or employee during this audit cycle in order to protect from retaliation.

Interviews with the agency's PREA Coordinator, the Facility Director, and the Residential Service Director confirmed the monitoring process. The auditor reviewed the form that is to be completed for status checks and the team would review the status reviews to determine if an extension in monitoring is necessary.

Staff verified during interviews that their PREA training includes how to detect and protect others from retaliation, and that they have a right to be free from retaliation when reporting or cooperating in an investigation. Clients also verified that they have received information on their right to be free from retaliation.

- Review:
- Policy and procedure
 - Training records
 - Interview with Facility Director
 - Interview with Residential Services Director
 - Interview with PREA Coordinator
 - Interview with random staff
 - Interview with random residents

Standard 115.271 Criminal and administrative agency investigations

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

All allegations of sexual abuse or sexual harassment including third party and anonymous reports are administratively investigated by 2 trained investigators and any report that appears criminal in nature are referred to the Youngstown City Police Department who has the legal authority to conduct a criminal investigation.

During this audit cycle, the facility has not had an allegation of sexual abuse or sexual harassment.

Both the agency investigators were interviewed and walked through their process of investigating any PREA related complaint and how this information is used determine whether an allegation is substantiated, unsubstantiated, or unfounded. The investigators collect all relevant information (interviews with staff, victim, witness, and the abuser; review any surveillance information, and make note of any facility issue that could have aided in the allegation). The PREA Coordinator determines the outcome of the investigation.

The investigators written report includes whether staff actions or failures to act contribute to the abuse and a description of the physical and testimonial evidence, the reasoning behind credibility assessments, and investigative facts and findings.

Both investigators will work with the local police department and remain informed about the progress of any referred allegation.

The investigators maintain all records from all allegations for as long as the abuser is incarcerated or employed by the agency, plus five years.

Review:
Policy and Procedure
Interview with Administrative Investigators

Standard 115.272 Evidentiary standard for administrative investigations

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

By agency policy and confirmed by investigators and PREA Coordinator interviews, the agency imposes a standard of preponderance of evidence or 51% to substantiate an allegation of sexual abuse or sexual harassment.

Review:
Policy and Procedure
Interview with Administrative Investigators
PREA Audit Report

Standard 115.273 Reporting to clients

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

The Facility Director or PREA Coordinator is responsible for informing a client who alleges sexual abuse the outcome of the investigation. The facility will request information from the legal authority if the investigation is criminal in nature to inform the alleged victim of the outcome of an investigation.

The notice includes whether the abuser, if a staff member, is no longer posted in the client's unit; no longer employed at the facility; has been indicted on a charge related to the sexual abuse within the facility; or has been convicted on a charge related to sexual abuse within the facility. The notice includes whether the abuser, if another resident, has been indicted on a charge related to sexual abuse within the facility or has been convicted on a charge related to sexual abuse within the facility.

Review:
Policy and procedure
Interview with PREA Coordinator

Standard 115.276 Disciplinary sanctions for staff

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

CCA outlines its progressive disciplinary plan in its employee handbook. A review of the handbook states that any staff member found to have engaged in sexual abuse will be terminated. Termination or resignations by staff will not void an investigation and any criminal activity will be reported to the legal authority and to any relevant licensing agency. Policy also indicates that the agency will notify law enforcement or any relevant licensing boards of any terminations or resignations based upon violations of the agency's client sexual abuse and sexual harassment prevention policy when such behavior is criminal in nature.

All staff interviewed understood that anyone engaging in sexual harassment will be disciplined according to agency policy and that they would be terminated for participating in sexual abuse. Staff indicated that they are required to report any suspicion to their immediate supervisor and that they would not have any issue reporting a coworker for violation of the zero tolerance policy.

The auditor reviewed agency policy, the employee handbook, and interviewed the Facility Director, PREA Coordinator, and CEO to confirm the disciplinary process for employees found to have substantially engaged in sexual harassment or sexual abuse against residents.

All agency leadership stated that any employee found to have engaged in sexual abuse will be immediately terminated from the facility and law enforcement would be notified.

Review:

Policy and procedure

Employee handbook

Code of ethics

Interview with Facility Director

Interview with CEO

Interview with random staff members

Interview with PREA Coordinator

Standard 115.277 Corrective action for contractors and volunteers

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

All contractors and volunteers are made aware of the agency's zero tolerance policy toward sexual abuse and sexual harassment. Each must participate in PREA training where they will be taught how to prevent, detect, respond, and report sexual harassment and sexual abuse. They will also learn the consequences of participating in any type of sexual misconduct. Contractors and volunteers sign an agreement that they could be removed from the facility for any acts of sexual abuse or sexual harassment.

The auditor has reviewed the contractor/volunteer training and documentation of compliance with training.

The facility has not removed any contractor or volunteer for a PREA issue.

Review:

Policy and procedure

Contractor/vendor acknowledgement form

Contractor/vendor training curriculum

Interview with PREA Coordinator

Standard 115.278 Disciplinary sanctions for clients

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

A review of the client handbook shows how it outlines Client conduct and prohibits all sexual activity between clients and disciplines clients for such activity. Clients are given a handbook at intake and the contents are reviewed with the resident.

During client interviews, all clients affirmed that they received a handbook at intake and the rules and discipline policies regarding sexual abuse and sexual harassment were reviewed with them. All clients interviewed understood fully the seriousness of the agency's Zero Tolerance Policy and the consequences of participating in sexual misconduct.

There have been no allegations of client-on-client sexual harassment or sexual abuse during this auditing period.

Review:
Policy and procedure
Client handbook
Interviews with residents
Interview with Facility Director

Standard 115.282 Access to emergency medical and mental health services

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

Policy indicates the types of service offered free of charge to an alleged victim of sexual assault. It is documented which types of services were rendered and or declined by the alleged victim on the investigation form. Clients are offered timely information about and timely access to sexually transmitted infection prophylaxis. There are no females housed at this facility.

If services are necessary, the Counselor will provide appropriate referrals to community resources and notify the case manager assigned to the resident. The scope of services provided will be determined by the licensed practitioner.

Staff have been notified of the Agency's Coordinated Response Plan. The plan outlines how staff is to offer unimpeded access to both emergency and ongoing medical and mental health care. Ongoing medical or mental health care will be at the discretion of the medical provider and is again at no cost to the resident.

Clients are informed of their right to free services during PREA education at orientation.

The facility has not had a sexual abuse/sexual assault allegation that resulted in the use of these services.

Review:
Policy and procedure
Coordinated Response Plan
Interview with PREA Coordinator
Interview with random staff

Standard 115.283 Ongoing medical and mental health care for sexual abuse victims and abusers

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

This facility offers community medical and counseling services for clients who have been sexually abused in jail, lockup, or juvenile facility. This treatment includes testing for sexually transmitted disease. Treatment is offered to all known clients on Client abusers within in 60 days of learning such history. All treatment offered is free of charge.

Staff have been notified of the Agency's Coordinated Response Plan. The plan outlines how staff is to offer unimpeded access to both emergency and ongoing medical and mental health care. Ongoing medical or mental health care will be at the discretion of the medical provider and is again at no cost to the resident.

The facility has not had a report of any known Client on Client abuser.

A review of the investigation form shows how staff indicates whether services were offered and accepted or declined. The PREA initial screening form indicates whether a Client has abused others while in a correctional setting. If a Client indicates that he has in fact abused another Client while in a corrections setting, the case manager and Facility Director will meet with the Client to make a determination if additional treatment or referrals for community treatment is necessary.

The facility had not a report of a Client being sexually abused while in a jail, lockup, or juvenile facility.

The PREA Coordinator has confirmed the process and practice of how staff will provide unimpeded access to necessary emergency and/or ongoing medical and mental health services.

Review:
Policy and procedure
Coordinated Response Plan
PREA initial assessments
Interview with Facility Director
Interview with case manager
Interview with PREA Coordinator

Standard 115.286 Sexual abuse incident reviews

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

the investigation. The review team includes the facility management staff, PREA Coordinator, Residential Services Director, and any other employee deemed appropriate.

The team, per policy, considers whether a change in policy or practice will better prevent, detect, or respond to sexual abuse; if the incident or allegation was motivated by race, ethnicity, gender identity, gang affiliation, or any other group dynamic; if any physical barriers in the area enabled the abuse; adequacy of staffing levels; and whether monitoring technology should be deployed or augmented to supplement supervision by staff.

At the conclusion of an investigation, the SART would provide executive management with any relevant recommendations that would increase the ability to protect, detect, or report allegations of sexual harassment or sexual abuse. The executive team would deem which recommendations are appropriate to implement and provide documentation to the recommendations that were not implemented.

The facility has not had an allegation of sexual abuse or sexual harassment during this audit cycle. Auditor reviewed forms and process with staff.

Review:
Policy and procedure
Review of SART form
Interview with PREA Coordinator
Interview with CEO

Standard 115.287 Data collection

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor’s analysis and reasoning, and the auditor’s conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

CCA has an agency policy for data collection and statistical reporting of all necessary information in the most recent version of the Survey of Sexual Violence. The auditor reviewed the most recent information collected by the agency and has confirmed that the agency collects the appropriate data on all allegations of sexual abuse and aggregates this information annually.

The facility’s Director collects the data and send the data to the agency's PREA Coordinator. The information for each facility is used by the PREA Coordinator to complete the Survey of Sexual Victimization for all CCA facilities.

The agency has not received a request to supply the Department of Justice with this information.

Review:
Policy and procedure
PREA data collection and statistical reporting information
Interview with PREA Manager
Interview with PREA Coordinator

Standard 115.288 Data review for corrective action

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor’s analysis and reasoning, and the auditor’s conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

Agency uses information collected in 115.287 to make improvements in how the agency prevents, detects, and responds to incidents of sexual abuse and sexual harassment. The report compares the current year’s data with those of previous years, and includes the updates made from previous year’s reports. The information contained in the report is based on a calendar year and the report with this information can be found on the agency’s website.

The information in the report has been reviewed and approved by the CEO of CCA, Inc.

The information in the report does not contain any identifying information that would need to be redacted in order to protect the safety of an individual or the facility.

Auditor verified that the reported was posted on the agency's website and that the report contained all required information.

Review:
Policy and procedure
PREA annual report
CCA website
Interview with CEO
Interview with PREA Coordinator

Standard 115.289 Data storage, publication, and destruction

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor’s analysis and reasoning, and the auditor’s conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

All data collected in sexual abuse cases are securely maintained by the PREA Coordinator for a minimum of 10 years. The PREA Coordinator confirmed the retention schedule.

The aggregated information from each of CCA facilities was posted on its website.

There is no information in the report that would identify any individual or jeopardize the safety or security of the facility.

Review:
Policy and procedure
PREA annual report
CCA website
Interview with PREA Coordinator

AUDITOR CERTIFICATION

I certify that:

- The contents of this report are accurate to the best of my knowledge.
- No conflict of interest exists with respect to my ability to conduct an audit of the agency under review, and
- I have not included in the final report any personally identifiable information (PII) about any inmate or staff member, except where the names of administrative personnel are specifically requested in the report template.

Kayleen Murray

October 28, 2017

Auditor Signature

Date